

| | | | | |
|--|----------------------|--------------------|------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 09676374 | |
| | Filing Date | | 2000-09-29 | |
| | First Named Inventor | Ernest H. Zerenner | | |
| | Art Unit | 3696 | | |
| | Examiner Name | Daniel S. Felton | | |
| Attorney Docket Number | | POPT-0004 | | |

| U.S. PATENTS | | | | | | | Remove |
|-------------------|---------|---------------|------------------------|------------|---|--|--------|
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | |
| | 1 | 6064985 | | 2000-05-16 | Anderson | | |
| | 2 | 6049783 | | 2000-04-11 | Segal et al. | | |

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

| U.S. PATENT APPLICATION PUBLICATIONS | | | | | | | Remove |
|--------------------------------------|---------|--------------------|------------------------|------------------|---|--|--------|
| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | |
| | 1 | | | | | | |

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

| FOREIGN PATENT DOCUMENTS | | | | | | | | Remove |
|--------------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
| Examiner Initial* | Cite No | Foreign Document Number ³ | Country Code ² j | Kind Code ⁴ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T ⁵ |
| | 1 | | | | | | | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button.

Add

| NON-PATENT LITERATURE DOCUMENTS | | | | | | | Remove |
|---------------------------------|--|--|--|--|--|--|--------|
|---------------------------------|--|--|--|--|--|--|--------|

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|--------------------|
| Application Number | 09676374 |
| Filing Date | 2000-09-29 |
| First Named Inventor | Ernest H. Zerenner |
| Art Unit | 3696 |
| Examiner Name | Daniel S. Felton |
| Attorney Docket Number | POPT-0004 |

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T5 |
|---|---------|---|------------------------------------|
| | 1 | PowerOptions Plus.com, "The Best Way to Find, Compare, Analyze, and make Money on Option Investments, www.poweropt.com, ©1997-2002, 1 page | <input type="checkbox"/> |
| | 2 | www.poweropt.com, PowerOptions, 10/12/1999 and 06/06/2002, web.archive.org (Wayback Machine), pp.1-9. | <input type="checkbox"/> |
| | 3 | In the United States Patent and Trademark Office, in re: Application No. 11/243,216 filed October 4, 2005, Non-Final Office Action dated March 11, 2008, 23 pages | <input type="checkbox"/> |
| | 4 | In the United States Patent and Trademark Office, in re: Application No. 11/611,043 filed December 14, 2006, Non-Final Office Action dated February 4, 2009, 6 pages | <input type="checkbox"/> |
| | 5 | In the United States Patent and Trademark Office, in re: Application No. 10/607,418 filed June 26, 2003, Non-Final Office Action dated December 27, 2007, 11 pages | <input type="checkbox"/> |
| | 6 | In the United States Patent and Trademark Office, in re: Application No. 10/607418 filed June 26, 2003, Non-Final Office Action dated July 20, 2009, 23 pages | <input type="checkbox"/> |
| | 7 | In the United States Patent and Trademark Office, in re: Application No. 10/607,418 filed June 26, 2003, Non-Final Office Action dated June 6, 2008, 20 pages | <input type="checkbox"/> |
| | 8 | In the United States Patent and Trademark Office, in re: Application No. 10/607418 filed June 26, 2003, Non-Final Office Action dated May 16, 2007, 11 pages | <input type="checkbox"/> |
| | 9 | In the United States Patent and Trademark Office, in re: Application No. 10/607,418 filed June 26, 2003, Final Office Action dated November 24, 2008, 19 pages | <input type="checkbox"/> |
| If you wish to add additional non-patent literature document citation information please click the Add button | | | <input type="button" value="Add"/> |

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|-------------------|
| Application Number | 09676374 |
| Filing Date | 2000-09-29 |
| First Named Inventor | Ernest H. Zerener |
| Art Unit | 3696 |
| Examiner Name | Daniel S. Felton |
| Attorney Docket Number | POPT-0004 |

EXAMINER SIGNATURE

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.